

## LPL Financial Holdings Inc.

Ticker : LPLA Country of Operation : UNITED STATES Industry Sector : Investment Banking & Brokerage

Meeting Date : 5/5/2021 Meeting Type : Annual

Item #	Proposal Text	Proponent	Mgmt Rec	Vote Instruction	Vote Rationale
1	Approve Omnibus Stock Plan	Management	For	Against	The potential dilution of all plans is deemed excessive based on AB policy approach.